

Knowledge and Keywords Augmented Abstractive Sentence Summarization

Anonymous EMNLP submission

Abstract

In this paper, we study the knowledge-based abstractive sentence summarization. There are two essential information features that can influence the quality of news summarization, which are topic keywords and the knowledge structure of the news text. Besides, the existing knowledge-augmented methods have poor performance on sentence summarization since the sparse knowledge structure problem. Considering these, we propose **KAS**, a novel Knowledge and Keywords Augmented Abstractive Sentence Summarization framework. Tri-encoders are utilized to integrate contexts of original text, knowledge structure and keywords topic simultaneously, with a special linearized knowledge structure. Automatic and human evaluations demonstrate that KAS achieves the best performances.¹

1 Introduction

With the increasing of computing power and model capacity, it is possible to generate mostly grammatical summarization of natural language text. In general, there are two essential information features of summarization: (1) topic keywords in text (2) the knowledge structure of the text. These features can basically cover all the information in summary generation, especially in sentence or short text summarization. Therefore, considering this reason, we are building a neural network model that integrates both topic keyword context and knowledge structure context.

Knowledge augmented summarization has been intensively studied recently, most of which are about document summarization. However, there is not much research on knowledge-based sentence summarization. The main reason is that the existing methods are not applicable to sentence summarization. The knowledge-based summarization frameworks usually use GNN as the knowledge structure encoder. However, the knowledge

graph of sentence is usually sparse, and GNN has poor performance in sparse knowledge structure. Specifically, GNNs may cause over-smoothing problem when training on the sparse graphs (Alon and Yahav, 2021), especially for GCNs (Kipf and Welling, 2017), decreasing the robustness and performance of the model. Therefore, we are creating a new knowledge-augmented sentence summarization model considering these problems. Besides, considering most of the knowledge based summarization models are only applicable to English, we are aiming at making our model applicable to multiple languages.

In order to address these issues, we propose a special linearized knowledge sequence structure that are applicable to sentence summarization. Correspondingly, we propose a novel tri-encoder framework KAS integrating three separate encoders, considering contexts of original text, topic keywords and knowledge structure simultaneously based on their salience. Evaluations demonstrate that KAS framework and the corresponding linearized knowledge structure enhances the performances significantly. Besides, the structure of KAS can be applied to summarization on multiple languages. We have conducted experiments on English and Chinese corpus and achieved best performances on both.

2 Related Work

Knowledge-based Summarization The existing method for utilizing knowledge graph into text generation and summarization is adding a separate encoder to encode the vectorized knowledge graph for context integration. Ribeiro et al. (2020) introduced a knowledge graph encoding strategy for graph-to-text generation model. Koncel-Kedziorski et al. (2019), Huang et al. (2020) proposed a text generation (summarization) model integrated with a GNN encoder (Veličković et al., 2018) using encoded graph data preprocessed from the input text.

¹Code is in <https://github.com/SeanG-325/KAS>

Algorithm 1 Knowledge Sequence Construction

Require: Text Sequence \mathcal{S} ; Triples Extractor \mathcal{E} ;

Knowledge Graph $\mathcal{G}_k[*][*] = 0$.

$T = \mathcal{E}(\mathcal{S})$

for all $e \in T$ **do**

if $\mathcal{E}(e.E) \neq \phi$ **then**

$e.E = \mathcal{E}(E).E$

$T = T \cup \mathcal{E}(E)$

end if

end for

for $e \in T$ **do**

$\mathcal{G}_k[e.E_1][e.R] = \mathcal{G}_k[e.R][e.E_2] = 1$

end for

Collect the occurrence locations in \mathcal{S} for all vertices in \mathcal{G}_k as $L = \{l_1, \dots, l_m\}$

$S_{KG} = \text{DFS}_{KGL}(\mathcal{G}_k, L)$

return S_{KG}

081 Aiming at solving the possible sparse problem of
082 graphs, Konstas et al. (2017) proposed a method of
083 graph linearization, and used LSTM to encode the
084 graph structure.

085 **Pointer Mechanism** Pointer Mechanism has
086 drawn much attention in text generation (Miao and
087 Blunsom, 2016; Nallapati et al., 2016; Gulcehre
088 et al., 2016; Eric and Manning, 2017). In text sum-
089 marization, Pointer-Generator Network model (See
090 et al., 2017) is proposed to keep the generation a-
091 bility while using pointer mechanism. Sun et al.
092 (2018) proposed a method for using pointer mech-
093 anism with multiple separate encoders. The idea
094 of Pointer Mechanism is setting soft or hard gates
095 to select from predefined vocabulary or input se-
096 quences to generate tokens.

3 Summarization Framework

3.1 Knowledge and Keywords Construction

099 The whole linearized knowledge graph construct-
100 ing process is presented in Algorithm 1. For \mathcal{E} , we
101 use OLLIE (Mausam et al., 2012) to extract triples
102 from English news texts. As few established tools
103 are for open domain Chinese triple extraction,
104 we extract triples from semantic rules using Lan-
105 guage Technology Platform (Che et al., 2010). As
106 shown in the algorithm, fact triples in different
107 granularity will be re-extracted until the granu-
108 larity of all triples is minimized, and we keep re-
109 constructing the triples to enhance the connectivity
110 of the knowledge graph. We assume each triple e
111 has 3 elements: E_1 , R and E_2 . The E in $e.E$ denotes to

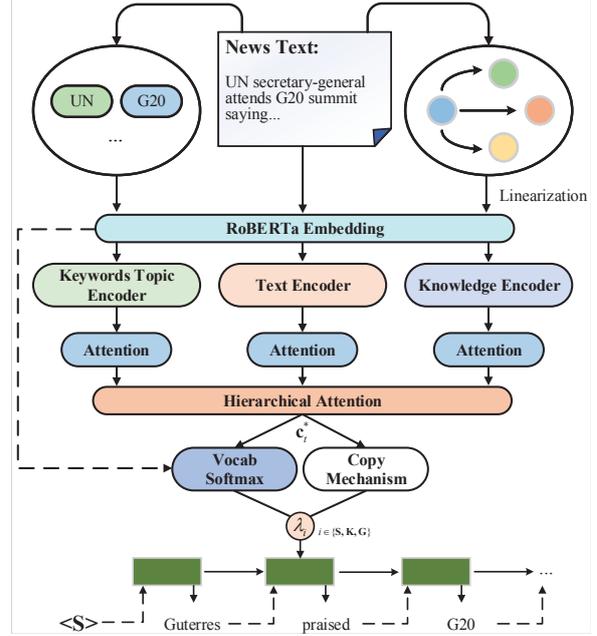


Figure 1: The Model Structure of KAS. The λ_i are soft gates for distributing copy probabilities.

E_1 and E_2 . Then all edges (relationships) will be
converted to vertices.

We then generate the linearized knowledge graph
sequence by a modified DFS algorithm. The DFS
is modified on the start vertex selection and priori-
ties of different traversal paths. When traversal
starts or the current vertex has more than one path,
we select the vertex whose token first appear in
the source text as the next. It reduces text redun-
dance effectively and makes the framework focus
more on the key logic instead of other irrelevant
information.

For keywords topic sequences, we use TextRank
(Mihalcea and Tarau, 2004) algorithm to extract
keywords from source text, and make them in the
order in which they appear in the original text.
This brings priori topic knowledge to the model and
makes the model explicitly consider the keywords
topic information of the text.

3.2 Architecture

KAS takes as input a news text $\mathcal{S} = \{x_i\}$, a key-
words topic sequence $\mathcal{K} = \{k_i\}$ and a knowledge
sequence $\mathcal{G} = \{v_i\}$, and let $\mathcal{D} = \{\mathcal{S}, \mathcal{K}, \mathcal{G}\}$. The
tri-encoder structure shown in Figure 1 integrates
the context of original source text, keywords topic
and internal knowledge. The RoBERTa (Liu et al.,
2019) is utilized for word embedding pre-training,
and we use the outputs of the last RoBERTa layer
as the input embedding for all encoders. We build

encoders to generate hidden states $\mathbf{h}_t^S, \mathbf{h}_t^K, \mathbf{h}_t^G$, which is $\mathbf{h}_t^x = g(\mathbf{h}_{t-1}^x)(\mathbf{x} \in \mathcal{D})$, in which function g is a bi-directional LSTM. The hidden states in the final time step of the three encoders, $\mathbf{h}_{l_1}^S, \mathbf{h}_{l_2}^K, \mathbf{h}_{l_3}^G$, should be transformed into the decoder initial state $\mathbf{d}_0 = \tanh(\mathbf{W}_m \cdot [\mathbf{h}_{l_1}^S || \mathbf{h}_{l_2}^K || \mathbf{h}_{l_3}^G])$.

The attentions of the source text, keywords and knowledge are computed as $(\alpha_t^S), (\alpha_t^K)$ and (α_t^G) (Bahdanau et al., 2015). The context vectors are computed as $\mathbf{c}_t^x = \sum_{i=0}^t \alpha_i^x \mathbf{h}_i^x, \mathbf{x} \in \mathcal{D}$.

We decode with an attention-based decoder, the decoder hidden state at timestep t \mathbf{d}_t is $\mathbf{d}_t = f(\mathbf{d}_{t-1}, \mathbf{c}_{t-1}^S, \mathbf{c}_{t-1}^K, \mathbf{c}_{t-1}^G, \mathbf{y}_{t-1})$, in which \mathbf{d}_{t-1} is the decoder hidden state, \mathbf{y}_{t-1} is the decoder input, \mathbf{c}_{t-1}^x are the context vectors. The function f denotes to an unidirectional LSTM.

Hierarchical Attention The salience for the three contexts should be automatically adjusted. Therefore, besides the word-level attention in each encoder, we further utilize a encoder-level hierarchical attention mechanism for ensemble context. We compute the ensemble attention as

$$\mathbf{b}^x = \mathbf{u}^T \tanh(\mathbf{W}_{hc}^x \mathbf{c}_t^x + \mathbf{W}_{hd}^x \mathbf{d}_t + \mathbf{b}_h^x)$$

$$\beta^x = \text{softmax}(\mathbf{b}^x), \mathbf{x} \in \mathcal{D}$$

β^x is the hierarchical attention weight of the three contexts in the ensemble context. We then compute the ensemble context \mathbf{c}_t^* as

$$\mathbf{c}_t^* = \sum_{\mathbf{x} \in \mathcal{D}} \beta^x \mathbf{c}_t^x$$

The ensemble context \mathbf{c}_t^* is a fixed length vector encoding salient information from the three contexts of the tri-encoder model.

$P_{vocab}(w)$ is calculated by scaling $[\mathbf{h}_t || \mathbf{c}_t^*]$ to the vocabulary size and taking a softmax:

$$P_{vocab}(w) = \text{softmax}(\mathbf{W}_s[\mathbf{h}_t || \mathbf{c}_t^*] + \mathbf{b}_s)$$

To allow \mathbf{W}_s to reuse the linguistic in input embedding and decrease the number of parameters, we integrate weight-sharing mechanism (Paulus et al., 2018) in the model as $\mathbf{W}_s = \tanh(\mathbf{W}_{emb} \cdot \mathbf{W}_{sh})$, in which \mathbf{W}_{emb} is input embedding matrix.

Tri-Copy Mechanism We compute p_{cpy} , which is overall copy probability and will be distributed to the three encoders:

$$p_{cpy} = \sigma(\mathbf{W}_{cpy}[\mathbf{h}_t || \mathbf{c}_t^*] + \mathbf{b}_{cpy})$$

$P_{cpy}(w)$ is distributed to the tri-encoders with soft gates $\lambda_S, \lambda_K, \lambda_G$. Here, $\lambda_i(i \in \mathcal{D})$ automatically adjust $\mathbf{d}_t, \mathbf{y}_{t-1}$, and the context vector \mathbf{c}_t^i . We define λ_i as:

$$\lambda_i = \frac{\sigma(\mathbf{w}_{di}^T \mathbf{d}_t + \mathbf{w}_{yi}^T \mathbf{y}_{t-1} + \mathbf{w}_{ci}^T \mathbf{c}_t^i)}{\sum_{\mathbf{x}} \sigma(\mathbf{w}_{dx}^T \mathbf{d}_t + \mathbf{w}_{yx}^T \mathbf{y}_{t-1} + \mathbf{w}_{cx}^T \mathbf{c}_t^x)} \cdot p_{cpy} \quad (i, \mathbf{x} \in \mathcal{D})$$

The training loss can be defined as the the negative log likelihood of the target sequence:

$$\mathcal{L} = - \sum_{t=0}^T \log p(y_t = w_t^* | P_{vocab}, \mathbf{S}, \mathbf{K}, \mathbf{G}, y_{<t})$$

in which w_t^* is the target word at step t , T is the length of the target sequence. The multi-copy mechanism can make the model more inclined to generate informative words.

4 Experiments

4.1 Dataset

We use LCSTS dataset (Hu et al., 2015), which contains a training set of 2.4M online Chinese short news texts in Chinese social media SinaWeibo. We choose 725 pairs from the test set with high annotation scores as our test set. Besides, we consider the annotated Gigaword corpus (Rush et al., 2015), which leads to around 3.8M training samples and 1951 test samples for evaluation.

4.2 Experiment Settings

The model is mainly implemented in Tensorflow². In the data preprocess step, we use Jieba³ for Chinese words segmentation and topic keywords extraction, and LTP(Che et al., 2010) for knowledge extraction. For English we use OLLIE to extract knowledge triples. For our model, we have 512-dimensional hidden states and word embedding. We use a predefined vocabulary of 60k words for both source and target in word-level inputs. Adam optimizer is used with learning rate 0.15 and an initial accumulator value of 0.1. All models are trained on a single NVIDIA RTX 2080 Ti GPU, with a batch size of 64 on inputs.

4.3 Automatic and Human Evaluation

The model is evaluated with the standard ROUGE metric (Lin, 2004), shown in Table 1 and 2. We use the F_1 scores for ROUGE-1, ROUGE-2 and ROUGE-L.

Models	RG-1	RG-2	RG-L
PGEN+COV(See et al., 2017)	38.22	25.80	35.46
GLOBAL(Lin et al., 2018)	39.40	26.90	36.50
NCLS(Zhu et al., 2019)	39.71	27.45	37.13
CATT(Duan et al., 2019)	44.35	30.65	40.58
LEXICON(Wan et al., 2020)	42.3	29.8	38.4
KAS			
+Kw	40.74	27.30	36.96
+KG	43.04	30.01	38.82
+KwKG	44.42	31.07	40.71

Table 1: F_1 scores on the LCSTS dataset in terms of the full-length RG-1, RG-2, and RG-L. **Bold** means the best. "+KG" and "+Kw" means the model augmented by knowledge and keywords separately.

Models	RG-1	RG-2	RG-L
SEASS(Zhou et al., 2017)	36.15	17.54	33.63
GLOBAL(Lin et al., 2018)	36.30	18.00	33.80
GENPARSE(Song et al., 2020)	36.61	18.85	34.33
CPDS(Wang et al., 2019)	37.01	17.10	34.87
KAS			
+Kw	36.74	17.51	33.73
+KG	37.01	18.02	34.47
+KwKG	37.46	18.89	35.01

Table 2: F_1 scores on the Gigaword dataset in terms of the full-length RG-1, RG-2, and RG-L. **Bold** means the best. *Italics* means it close to the best score.

Besides the automatic evaluation, we further conduct human evaluation for the framework. We randomly sample 100 articles from LCSTS test set and ask 3 Chinese native speakers to rate summaries of our systems and the baseline (PGEN+COV), along with outputs by human-written summaries. After reading the articles, each judge scores summaries on a Likert scale from 1 (worst) to 5 (best) on (1)*informativeness* and (2)*fluency*. Besides, in the experiment we noticed that the outputs of KAS are more diversified and attractive to readers, so we test (3)*diversity*: whether the summary arouses annotators' reading interest. We consider two types of unfaithful errors: (i) *hallucination error* and (ii) *logical error*. We ask the annotators to label each type as 1 for existence of errors and 0 otherwise.

4.4 Analysis

The automatic evaluation scores show that KAS achieves bests on LCSTS and Gigaword. The Table 3 shows that KAS augmented by both keywords and knowledge achieves the best results in almost all indicators, with significant enhancements. We find that diversity of summaries is enhanced

²<https://www.tensorflow.org/>

³<https://github.com/fxsjy/jieba>

Case Study

ST: 中石化计划推进下游油品销售业务的产权重整, 被誉为央企发展混合所有制、打破垄断的一大突破。民企老板直言, 如不放开加油站的油源垄断, 股权层面出让部分空间的意义有限: “不解决油源, 让民资参股只是个花活。”

ST: Sinopec plans to promote the property right reorganization of downstream oil sales business, which is known as a breakthrough for central enterprises to develop mixed ownership and break monopoly. The private enterprise boss said frankly that if the monopoly of oil sources in gas stations is not released, the significance of transferring part of the space at the equity level is limited: "it's meaningless to let private capital participate in the shares without solving the problem of oil source."

Ref: 民营油企负责人: 不解决油源让民资参股只是个花活

Ref: Private oil enterprises boss: it's meaningless to let private capital participate in shares without solving the problem of oil sources

+Kw: 民企老板: 让民资参股只是个花活

+Kw: Private oil enterprise boss: it's meaningless to let private capital participate in shares

+KwKG: 民企老板炮轰中石化计划: 不解决油源让民资参股只是个花活

+KwKG: Private oil enterprise boss bombards Sinopec plan: it's meaningless to let private capital participate in shares without solving the problem of oil sources.

Figure 2: A case study on the LCSTS dataset. **ST** is source text; **Ref** is reference summary; **+Kw** is keywords augmented; **+KwKG** is keywords and knowledge augmented.

Models	Inf.↑	Flu.↑	Div.↑	HE.↓	LE.↓
PGEN+COV	2.92	3.43	3.52	18%	35%
KAS					
+Kw	2.97	3.92*	3.47	10%	31%
+KwKG	3.82*	4.47*	4.15*	3%*	12%*
HUMAN	4.30	4.63	4.48	17%	2%

Table 3: Human evaluation on informativeness (Inf.), fluency (Flu.) and diversity (Div.) (1-to-5), and hallucination error(HE.) and logical error (LE.) (0-to-1). **Bold** are the bests. *: Significantly different from all other models. ($p < 0.05$)

significantly. A case study on LCSTS is shown in Figure 2. As LCSTS is a dataset of social media news in an eye-catching style, we speculate while the knowledge structure may enhance the understanding ability of the framework, it can implicitly enhance the memory of the styles of the training set.

5 Conclusion

In this work, we propose KAS, an abstractive summarization framework augmented by knowledge and topic keywords that supports multiple languages. Experimental results show that KAS generates more qualified summaries and achieves the best performances. In the future, we aim at enhancing attractiveness of sentence summarization based on our structure.

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448 Appendices

449 A Details of Human Evaluation

450 Here we show the details of the indicators in human
451 evaluation.

452 **Informativeness** It is the indicator reflecting
453 whether the generated summary covers all impor-
454 tant information points in the input text.

455 **Fluency** The indicator reflecting whether the
456 summary is grammatically correct, clear and coher-
457 ent.

458 **Diversity** The indicator reflecting whether the
459 summary arouses annotators' reading inter-
460 est(which is a key quality indicator of social media
461 news summaries).

462 **Logical Error** The error for model of generating
463 summaries whose logic structures contradicting
464 with which in the original text (such as summariz-
465 ing "A is B's dog" as "B is A's dog").

466 **Hallucination Error** The error for model of gen-
467 erating summaries containing the facts that are not
468 in or cannot be inferred from original text.

469 B Case Study

470 For details and case study, we randomly pick an
471 example of generated summaries in Figure 2. The
472 original examples (in Chinese) are shown and all
473 the texts are carefully translated into English for
474 reading convenience. The words marked in green
475 are key information points in original text, and
476 the words marked in blue are diversified phrase.
477 The examples demonstrate that the combination
478 of knowledge graphs and keywords sequence can
479 increase logicity and diversity in Chinese summa-
480 rization tasks.

Examples of summary

ST: 中石化计划推进下游油品销售业务的产权重整，被誉为央企发展混合所有制、打破垄断的一大突破。民企老板直言，如不放开加油站的油源垄断，股权层面出让部分空间的意义有限：“不解决油源，让民资参股只是个花活。”

ST: Sinopec plans to promote the property right reorganization of downstream oil sales business, which is known as a breakthrough for central enterprises to develop mixed ownership and break monopoly. The private enterprise boss said frankly that if the monopoly of oil sources in gas stations is not released, the significance of transferring part of the space at the equity level is limited: "it's meaningless to let private capital participate in the shares without solving the problem of oil source."

Ref: 民营油企负责人：不解决油源让民资参股只是个花活

Ref: Private oil enterprises boss: it's meaningless to let private capital participate in shares without solving the problem of oil sources

+**Kw:** 民企老板：让民资参股只是个花活

+**Kw:** Private oil enterprise boss: it's meaningless to let private capital participate in shares

+**KwKG:** 民企老板**炮轰**中石化计划：不解决油源让民资参股只是个花活

+**KwKG:** Private oil enterprise boss **bombards** Sinopec plan: it's meaningless to let private capital participate in shares without solving the problem of oil sources

ST: 教育部要求每所学校、幼儿园都要制订防止餐桌浪费的具体办法，提倡小份多次管饱的文明用餐方式。各地中小学还要开展餐饮消费、办公用纸、家庭用水等情况的社会调查，到节粮、节水、环保等方面的社会实践基地参与体验活动。

ST: The Ministry of education requires schools and kindergartens to formulate specific measures to prevent table waste, and to promote the civilized way of eating with small portions and full meals for many times. Primary and secondary schools around the country also need to carry out social surveys on catering consumption, office paper and household water consumption, and participate in experience activities in social practice bases of grain saving, water saving and environmental protection.

Ref: 关于在中小学幼儿园广泛深入开展节约教育的意见

Ref: Opinions on extensive and in-depth development of thrift education in primary and secondary school kindergartens

+**Kw:** 教育部：学校要制订防止餐桌浪费的具体办法

+**Kw:** Ministry of Education: schools should formulate specific measures to prevent table waste

+**KwKG:** 教育部要求：学校**引导学生勤俭节约**

+**KwKG:** Requirements of the Ministry of Education: schools **guide students to be diligent and thrifty**

Figure 3: An example of generated summaries on the LCSTS dataset. **ST** is source text; **Ref** is reference summary; **+Kw** is keywords topic augmented; **+KwKG** is keywords topic and knowledge augmented.